Joseph Sebastian Fichera

<u>Joseph Sebastian Fichera</u> is the chief executive officer of <u>Saber Partners, LLC</u>. His areas of expertise include corporate governance, financial markets, capital finance and effective regulation. He gives independent expert advice to corporations, investors, regulators, and brokerage firms.

Fichera's life path has taken him from a Rochester, NY Catholic military school to Wall Street - with stops at an inner-city public high school, Ivy League universities and our nation's capital. He has a BA from Princeton University and an MBA from Yale University

His investment banking career began as an entry-level associate, rising to become an officer at Smith Barney Harris Upham and then a managing director, principal at Bear Stearns in corporate finance. Mr. Fichera's government experience includes a presidential appointee staff position in the U.S. Department of Housing and Urban Development; and a Board Director on one of the U.S. State Department's Enterprise Funds for Eastern Europe. He has taught at Princeton University as a visiting lecturer in public and international affairs.

As an investment banker, Mr. Fichera has advised Exxon, the U.S. Securities & Exchange Commission, Governor of California, Texas Instruments, among others, as well as state public utility regulators on over \$9 billion in financings for electric market deregulation and environmental compliance. *The Wall Street Journal, Institutional Investor, Bloomberg* and *Yale Management Review* have published profiles of his investment banking efforts.

Mr. Fichera serves on the <u>Advisory Board of Princeton University's Center for Economic Policy Studies</u> and previously chaired the University's Economics Department Advisory Council under Ben Bernanke. He also serves on the RFK Human Rights Leadership Council, <u>The Common Good</u> and QuestBridge Scholarship Program.

Mr. Fichera is also an author and commentator on corporate governance, economics, finance, and politics. His writings have appeared in *The New York Times, The Wall Street Journal* and *Barron's*. He has been a guest commentator on financial news media, including CNN, Fox, Bloomberg News and NPR. His most recent article on *The New York Times* op-ed page, "The SEC Should Copy the DMV" was praised by commentators from both *The Washington Post* and *The Wall Street Journal* and named a "Best Business Column" by *The Week* magazine.